Subject Cataloging Using *LCSH* : Myth and Truth : Heading Control on OCLC Connexion Client : Webinar

Council on East Asian Libraries. Committee on Technical Processing

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Background, etc.

- The Control Headings window does <u>not</u> <u>validate</u> the <u>structure</u> of headings.
- When you control headings in bibliographic records, the system does <u>not</u> automatically control and insert a heading when the following is found:
  - An unqualified personal name match (contains only subfield **a**)
  - No exact match
  - Multiple records that match only partially
    (OCLC Connexion Client Guides. Authorities : Use Authority Control for Bibliographic Records)

Scope
(modest as introductory webinar)

- Pitfalls of subject headings control functionality inside Connexion Client
  - Some subject entries that control are actually not legitimate
  - Some legitimate subject entries do not control in Connexion Client

On following slides, "*" in front of subject entries denotes illegitimacy.

"_" in indicator positions denotes a blank space.

Not to be Covered

- Controlled subject headings lists other than *LCSH*, such as *ACSH*, *MeSH*, *Sears*, *AAT*, *etc*.

- Semantically inappropriate *LCSH*s assignment to resources on different topics and/or discussing topics on different levels of specificity

Not to be Covered--cont.

- Connexion Browser, <u>if</u> there are differences in headings control functionality from Connexion Client

Controlling vs Legitimacy

- Headings Control

    Connexion Client functionality

- Syntactico-Semantico-Pragmatic Legitimacy

    mostly from *SHM* provisions; sometimes from *LCSH*s themselves

    (semantic legitimacy outside scope of this webinar; focus on syntactic and pragmatic legitimacy)

Controlling vs Legitimacy--cont.

Situations

    a. Subject entry legitimate, and controls in Cnx Client

    b. Subject entry legitimate, but does not control in Cnx Client

    c. Subject entry legitimate, but automatically flipped to and controlled with different entry in Cnx Client

Controlling vs Legitimacy--cont.

d. Subject entry illegitimate, but controls in Cnx Client

e. Subject entry illegitimate, and does not control in Cnx Client

f. Subject entry illegitimate, then automatically flipped and controlled in Cnx Client

    i.   Flipped to legitimate entry

    ii. Flipped to another illegitimate entry

Situation a

Subject entry legitimate, and controls in Cnx Client

e.g.,

650 _0 Chinese language ǂx Errors of usage ǂv Dictionaries.

(sh2009119298; sh 99001671)

Situation b

Subject entry legitimate, but does not control in Cnx Client

e.g.,

651 _0 Seoul Metropolitan Area (Korea)

(*SHM*, H 362, 1, bullet 1; *SHM*, H 790, 1, a; n 79066627)

Situation c

Subject entry legitimate, but automatically flipped to and controlled with different entry in Cnx Client

Frequently happened until May 2011, but may no longer (or only infrequently) be happening since then. When a corresponding authority record is in error, however, it is carried over to affected controlled entries.

Situation d

Subject entry illegitimate, but controls in Cnx Client

e.g.,

*630 00 Tripiṭaka. ǂp Sūtrapiṭaka. ǂp Saddharmapuṇḍarīkasūtra ǂx Criticism and interpretation.

(*SHM*, H 1188)

many more examples of this situation, later

Situation e

Subject entry illegitimate, and does not control in Cnx Client

e.g.,

*651 _0 Zhongshan (Kingdom)

(LCCN nr 88002348 : 008/15: b; 667: SUBJECT USAGE: This heading is not valid for use as a subject. Works about this place are entered under Ryukyu Islands.)

Situation f.i

Subject entry illegitimate, then automatically flipped and controlled in Cnx Client--Flipped to legitimate entry

e.g.,

*610 20 Ihwa Yŏja Taehakkyo ‡x Alumnae ‡z New York County (N.Y.)

↓

610 20 Ihwa Yŏja Taehakkyo ‡x Alumni and alumnae ‡z New York (State) ‡z New York County.

(LCCN sh 99002320 ; *SHM*, H 1151; *SHM*, H 990; LCCN n 86822077)

Situation f.ii

Subject entry illegitimate, then automatically flipped and controlled in Cnx Client--Flipped to another illegitimate entry

 e.g.,

 *650 _0 Authors, Japanese ǂy 20th century ǂx Biography ǂx Last years and death.

   ↓

 *650 _0 Authors, Japanese ǂy 20th century ǂv Biography ǂx Death and burial.

 cf. should instead be

 650 _0 Authors, Japanese ǂy 20th century ǂv Biography.
     and/or
 650 _0 Authors, Japanese ǂy 20th century ǂx Death.
  (*SHM*, H 1155.2; *SHM*, H 1100; LCCN sh 85009863 ; LCCN sh 85009868)

Illegitimate but Controlled *LCSH* Entries in WC CJK Records

- Further on situation d: Subject entry illegitimate, but controls in Cnx Client

- Some catalogers: "<u>But</u>, Connexion Client controlled this subject entry. You see the entry is fully underscored in the record."

    HM: "Certainly, I see the underscore. However, OCLC admonishes us, 'The Control Headings window does <u>not</u> validate the structure of headings.'"

‡x History

Controlled but illegitimate entries involving " ‡x History". *E.g*.,

*651 _0 Xuzhou Shi (China) ‡x Politics and government ‡x History.

*651 _0 Korea ‡x Kings and rulers ‡x History.

*651 _0 Japan ‡x Social life and customs ‡x History.

(*SHM*, H 1647, 9)

ǂx History --cont.

*651 _0 China ǂx Commerce ǂy 21st century.

    (*SHM*, H 1075, 1, c)

*650 _0 Calligraphy, Chinese ǂy 20th century ǂx Catalogs.

    (LCCN sh 85018972)

ǂx … criticism …

Controlled but illegitimate entries involving " ǂx … criticism …". *E.g.*,

*650 _0 Folk drama, Chinese ǂz China ǂz Jiangsu Sheng ǂx History.

*650 _0 Music ǂz Taiwan ǂz Chang-hua hsien ǂx History.

(*SHM*, H 1647, 1, para. 2, bullet 2)

‡x … criticism … --cont.

*600 10 Wu, Cheng'en, ‡d ca. 1500-
ca. 1582. ‡t Xi you ji ‡x Criticism
and interpretation.
   (*SHM*, H 1155.6)
*650 _0 Motion pictures ‡z Japan ‡x
History and criticism.
   (LCCN sh 87002438)

ǂx Colonies ǂz [not divided to level lower than 4 regions]

Controlled but illegitimate entries involving " ǂx Colonies". *E.g.*,

*651 _0 Great Britain ǂx Colonies ǂz China ǂz Hong Kong.

*651 _0 Portugal ǂx Colonies ǂz China ǂz Macau (Special Administrative Region)

(*SHM*, H 1149.5)

Some Other *SHM* Prohibitions

Controlled but illegitimate entries involving other *SHM* prohibitions. *E.g*.,

*600 10 Lu, Xun, ǂd 1881-1936 ǂv Biography.

(*SHM*, H 1330, 1, a)

Some Other *SHM* Prohibitions-- cont.

*600 10 Wang, Fuzhi, ‡d 1619-1692 ‡x Philosophy ‡v Congresses.

(*SHM*, H 1929, 3, para. 2; LCCN n 81019630 for this person: 670-5: philosopher; author)

Some Other *SHM* Prohibitions-- cont.

*650 _0 Literature ǂx Chinese influences.

    (*SHM*, H 1156, TYPES OF HEADINGS COVERED BY THE PATTERN, sentence 3)

*651 _0 China ǂx Social policy ǂy 20th century.

    (*SHM*, H 620, 2, b, (2))

Some Other *SHM* Prohibitions-- cont.

*650 _0 Names, Geographical ǂz China ǂz Xining (Qinghai Sheng) ǂv Gazetteers.

   (*SHM*, H 1630, 2-3)

*651 _0 China ǂx Study and teaching ǂz China.

   (*SHM*, H 2110, 5, para. 3-4)

Some Other *SHM* Prohibitions-- cont.

*651 _0 Shanghai (China) ǂv Guidebooks ǂv Periodicals.

(*SHM*, H 1927, 2, Exception)

Some Other x-refs./Notes in *LCSH*

Controlled but illegitimate entries involving other x-refs. in *LCSH*. *E.g*.,

*650 _0 Families ǂz Japan ǂx Social conditions.

(LCCN sh 85047009 : hdg.: Families; x-ref.: Families ǂx Social conditions)

Some Other x-refs./Notes in *LCSH* --cont.

*650 _0 Pure Land priests ǂz Japan ǂx Doctrines.

(LCCN sh 99005682 : 680: ǂi Use as a topical subdivision under individual religions and Christian denominations)

Exercises

1. Does each of the following subject entries (a)-(f) in a bibliographic record pass through the heading control process on Connexion Client?

2. Is each subject entry legitimate?

(a) 651 _0 Chang-hua hsien (Taiwan) ǂx Music ǂx History.

(b) 651 _0 Korea ǂx History ǂy Mongolian Invasions, 1231-1270 ǂx Aerial operations ǂx Church history ǂy 16th century ǂx Criticism and interpretation ǂv Controversial literature.

(c) 650 _0 Folk drama, Chinese ǂx Instruction and study ǂz Taiwan ǂz I-lan hsien.

(d) 651 _0 Korea (South) ǂx Relations ǂz Foreign countries.

(e) 650 _0 Shin priests ǂz Japan ǂv Biography ǂx Biography ǂx History and criticism.

(f) 650 _0 Male domination (Social structure) ǂx Feminist criticism ǂz Japan.

Exercise Answer

(a) 651 _0 Chang-hua hsien (Taiwan) ‡x Music ‡x History.

1. Controls in Connexion Client.
2. Illegitimate. See LCCN sh 99001945 ; *SHM*, H 2075, 2; *SHM*, H 1647, 1, para. 2, bullet 2.

   --> 651 _0 Chang-hua hsien (Taiwan) ‡x Songs and music ‡x History and criticism.

   or

   650 _0 Music ‡z Taiwan ‡z Chang-hua hsien ‡x History and criticism.

Exercise Answer--cont.

(b) 651 _0 Korea ǂx History ǂy Mongolian Invasions, 1231-1270 ǂx Aerial operations ǂx Church history ǂy 16th century ǂx Criticism and interpretation ǂv Controversial literature.

1. Controls in Connexion Client.

2. Illegitimate. See *SHM*, H 1200; LCCN sh 99005027 ; LCCN sh 99005576 ; *SHM*, H 1472.

   --> 651 _0 Korea ǂx History ǂy Mongolian Invasions, 1231-1270 ǂx Aerial operations.

   and/or

   651 _0 Korea ǂx Church history ǂy 16th century.

Exercise Answer--cont.

(c) 650 _0 Folk drama, Chinese ǂx Instruction and study ǂz Taiwan ǂz I-lan hsien.

1. Controls in Connexion Client.
2. Illegitimate. See *SHM*, H 2110, 8; sh 99014466 .

   --> 650 _0 Folk drama, Chinese ǂx Study and teaching ǂz Taiwan ǂz I-lan hsien.

Exercise Answer--cont.

(d) 651 _0 Korea (South) ‡x Relations ‡z Foreign countries.

1. Automatically flipped to: 2 below.
2. 651 _0 Korea (South) ‡x Relations.

   " ‡x Relations" may no longer be subdivided by " ‡z Foreign countries". *SHM*, H 1996, 1 <u>not yet</u> updated; see summary of decisions, PSD editorial meeting (June 9, 2010) and sh 00007590

Exercise Answer--cont.

(e) 650 _0 Shin priests ‡z Japan ‡v Biography ‡x Biography ‡x History and criticism.

1. Controls in Connexion Client.
2. Illegitimate. See *SHM*, H 1100.

    --> 650 _0 Shin priests ‡z Japan ‡v Biography.

    or

    650 _0 Shin priests ‡z Japan ‡x Biography ‡x History and criticism. [would not control as such, but certainly legitimate]

Exercise Answer--cont.

(f) 650 _0 Male domination (Social structure) ǂx Feminist criticism ǂz Japan.

1. Controls in Connexion Client.
2. Illegitimate. See LCCN sh 00005655 .
   --> 650 _0 Male domination (Social structure)[ǂz Japan.]
   and
   650 _0 Feminist criticism[ǂz Japan].

Selected Bibliography

ALCTS/SAC-PCC/SCT Joint Initiative on Subject Training Materials. *Basic Subject Cataloging Using LCSH*. Washington, D.C.: Library of Congress, April 2011. http://www.loc.gov/catworkshop/courses/basicsubject/pdf/LCSH_Instructor_2011.pdf.

Library of Congress. Policy and Standards Division. *Free-Floating Subdivisions : an Alphabetical Index*. Washington, D.C.: Cataloging Distribution Service, 1989- (Also available in Cataloger's Desktop).

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Selected Bibliography--cont.

OCLC. "Connexion Client Problems and Troubleshooting." OCLC. Last modified September 30, 2011. See esp.: Controlling headings. http://www.oclc.org/us/en/connexion/support/client_known_problems.htm#controllingheadings.

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http://www.oclc.org/support/documentation/connexion/client/authorities/authcontrol/authcontrol.pdf.

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http://www.oclc.org/support/documentation/worldcat/tb/259/259.pdf.

Questions?

Q1: From completed pre-session survey form: Please discuss form/genre usage such as: 655 _0 Chinese fiction. Should it be coded: 655 _7 Chinese fiction. ǂ2 local ? When I control the heading, it becomes: 650 _0 Chinese fiction. The book is a Chinese novel but not about Chinese fiction. Do we add genre/form headings for literature materials?

Questions?--cont.

A1:
- Again, controllability ≠ legitimacy
- Controllability:

  655 _7 Fiction films. ‡2 lcgft   -->controllable

  655 _7 Fiction. ‡2 lcgft   -->uncontrollable

  655 _7 Fiction. ‡2 local   -->uncontrollable

  655 _0 Fiction.   -->uncontrollable

  650 _0 Fiction.   -->controllable

- Legitimacy:

  655 _7 Fiction. ‡2 local   -->governed by local cataloging policy

Questions?--cont.

655 _7 Fiction. ‡2 lcgft

Cf. "Frequently Asked Questions about *Library of Congress Genre/Form Terms for Library and Archival Materials* (*LCGFT*)" (rev., June 6, 2011) (http://www.loc.gov/catdir/cpso/genre_form_faq.pdf), esp., nos. 17, 20, 35, and 39

Questions?--cont.

- Literature terms not yet included in *LCGFT*.
- Use of topical *LCSH* headings as genre/form terms allowed for those disciplines in which *LCGFT* authority records not yet made (*e.g.*, literature), <u>if</u> scope note denotes use of hdg. for works *of* given type. If scope note absent, left to cataloger's judgment. When *LCSH* used for genre/form entry, should code field 655 with 2nd indicator "0".
- Currently, <u>no</u> provision for <u>language</u> of work within *LCGFT*.

- More detailed presentation on genre/form entries at future webinar?

Questions?--cont.

Q2. From completed pre-session survey form: Demonstration of using important tools for assigning *LCSH*s.

Questions?--cont.

A2. Each of the following through various means:

- LC/SACO Authority File
- LC/NACO Authority File
- *SHM*
- *Free-Floating Subdivisions : an Alphabetical Index*

  e.g., 普天間基地代替施設移設問題, or, U.S. military bases in Japan in general and relocation feasibility of the one in Futenma in particular

CTP's Concluding Remark

- CTP will announce URL of an archival copy of this webinar, when ready.
- Further webinar(s) on subject cataloging using *LCSH* beyond the headings control functionality?

Goodbye.
再見. 안녕히 가십시오. さようなら.