

Welcome to webinar "Searching LC/NACO Authority File in Connexion"

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Searching LC/NACO Authority File in Connexion : Webinar

Council on East Asian Libraries. Committee on Technical Processing
OCLC. CJK Users Group
Program for Cooperative Cataloging. CJK NACO Project

Hideyuki Morimoto
Jessalyn Zoom

Background, etc.

Search the LC/NAF, using ... OCLC, or the LC database. ... When searching, determine if the proposed heading already exists either in the form proposed or some variant. This will require careful searching of both the proposed heading and cross-references to avoid conflicts and duplicates. (*NACO participants' manual*, 3rd ed., rev. Nov. 2005, Section I, Workflow, Authority file searching)

Scope

- how to conduct effective searching on Connexion Client
- how to look for potential conflict, duplicate, and out-of-sync headings
- NACO normalization
- action to be taken, after (a) problem authority record(s) is/are discovered inside the LC/NACO Authority File

Not to be Covered

- search techniques on: Connexion Browser; SkyRiver
- search techniques of bibliographic records
- search techniques of LC/SACO Authority File

Not to be Covered--cont.

- MARC tagging/coding
- cataloging rules, such as: heading formulation; cross-reference formulation; under what circumstances an author represented by a NAR may be "killed off"; differences between *AACR2* and *RDA*; etc.

Not to be Covered--cont.

- background research, beyond LC/NACO Authority File search, associated with authority work
- creation/updating process of authority records

Some Access Possibilities to LC/NACO Authority File

- Connexion Client: focus of this Webinar
- Connexion Browser
(<http://connexion.oclc.org/>)
- Library of Congress Authorities Site
(<http://authorities.loc.gov/cgi-bin/Pwebrecon.cgi?DB=local&PAGE=First>)
- Classification Web
(<http://classificationweb.net/Auto/>)
- Other mirror sites, *e.g.*, SkyRiver, BL, LC Voyager

Indexed Fields/Search Labels with LC/NACO Authority File

Barnes, Judy. "Authorities: Search
Authority Files." OCLC. Last modified
November 2010.

[http://www.oclc.org/us/en/support/d
ocumentation/connexion/client/autho
rities/searchauth/.](http://www.oclc.org/us/en/support/documentation/connexion/client/authorities/searchauth/)

Various Ways for Same Search

- Authority master file
 - Shift-F2: search/browse: command line and labelled lines
 - Alt-F2: browse
- Authority history file
 - Alt-u-s-h: search/browse: command line and labelled lines
 - Alt-u-b-h: browse

Basic Examples: Personal Names

- For Tang dynasty poet: 李白
 - li^,bai,^
 - pd:li^,bai,^
 - sca pn:li, bai 701 762
 - sca nw:li, bai 701 762
 - pn:li bai 701 762
 - nw:li bai 701 762
 - ln:n 50062078
 - ln:50062078
 - an:96471

Basic Examples: Corporate/Conference Names

- For: 中華民國駐韓國大使館經濟參事處
 - =chin,rep,1 [results unmanageable]
 - cd:chin,rep,1 [results unmanageable]
 - cd:chi,rep,1 and cn:korea
 - sca cn:china republic 1949 da shi guan korea jing ji can shi chu
 - sca nw:china republic 1949 da shi guan korea jing ji can shi chu
 - cn:china republic 1949 da shi guan korea jing ji can shi chu
 - nw:china republic 1949 da shi guan korea jing ji can shi chu
 - ln:n 85308905 ; ln:85308905 ; an:1555154

Basic Examples: Geographic Names

- For: 釜山 廣域市 影島區 = 부산 광역시 영도구
 - =yong,pus,k
 - cd:yong,pus,k
 - sca gg:yongdo gu pusan korea
 - sca nw:yongdo gu pusan korea
 - gg:yongdo gu pusan korea
 - nw:yongdo gu pusan korea
 - cm:e1290323
 - cm:n0350432
 - ln:n 85281633 ; ln:85281633
 - an:1757749

Basic Examples: Uniform Titles

- For: सद्धर्मपुण्डरीकसूत्र = 妙法蓮華經 = 묘법 연화경
 - tri,su,sa,
 - td:tri,su,sa,
 - sca ti:tripitaka sutrapitaka
saddharmapundarikasutra
 - sca nw:tripitaka sutrapitaka
saddharmapundarikasutra
 - ti:tripitaka sutrapitaka
saddharmapundarikasutra
 - nw:tripitaka sutrapitaka
saddharmapundarikasutra
 - ln:n 79099416 ; ln:79099416 ; an:331560

Basic Examples: Name-Title Headings

- For: 濟州 國際 自由 都市 特別法 =
제주 국제 자유 도시 특별법 ;
entered under: Korea (South)
 - cd:kore,sou,^ and td:che,ku,ch,t
 - sca nw:korea south --> expanded term: cheju kukche chayu tosi tukpyolpop
 - sca ti:cheju kukche chayu tosi tukpyolpop
 - nw:korea south cheju kukche chayu tosi tukpyolpop
 - ti:cheju kukche chayu tosi tukpyolpop
 - ln:n 2009046372 ; ln:2009046372
 - an:8185227

Basic Examples: Cancelled Headings

- For cancelled heading of: Head of State 裕仁
(Japan. Sovereign (1926-~~1989~~ : Hirohito) ;
cancelled hdg. had open date without "1989")
 - sca me:japan sovereign 1926 hirohito
 - me:japan sovereign 1926 hirohito
 - ln:n 83214219
 - ln:83214219
 - an:1023079

Basic Examples: Cancelled Headings--cont.

- Or, as long as LCCN itself is still in use: current distributed record in master authority file displayed --> Alt-u-h-p
e.g., ln:n 83214219 --> Alt-u-h-p

Basic Examples: Commas

- For browsing personal names, enter the first comma. *e.g.*,
 - sca pn:lu, xun 1881 1936
 - sca nw:lu, xun 1881 1936
 - sca nw:cixi, empress dowager of china 1835 1908
 - sca nw:boyang, 1920 2008

Basic Examples: Commas--cont.

- For browsing corporate/conference/geographic names, uniform titles, or name-title entries with the "nw" index label, omit commas. *e.g.*,
 - sca nw:mitsukoshi_[,] kabushiki kaisha
 - sca nw:shinpojumu_[,] kensho no kagaku gijutsu
 - sca nw:fengtai zhen beijing_[,] china
 - sca nw:koza_[,] ningen no anzen hosho to kokusai soshiki hanzai
 - sca nw:korea south --> expanded term: changaein_[,] noin_[,] imsanbu tung ui pyonui chungjin pojang e kwanhan pomnyul

Basic Examples: Commas--cont.

- For browsing corporate/conference/geographic names, uniform titles, or name-title entries with "cn"/"gg"/"ti" index labels, commas may either be entered or omitted.
- For browsing LC names history file entries, search both forms (with and without comma).

Foundation of Authority Work: Thorough Search

- Search the LC/NAF, using ... OCLC, or the LC database. ... When searching, determine if the proposed heading already exists either in the form proposed or some variant. This will require careful searching of both the proposed heading and cross-references to avoid conflicts and duplicates. (*NACO participants' manual*, 3rd ed., rev. Nov. 2005, Section I, Workflow, Authority file searching)
- Various types of incomplete search: examples and thorough search techniques

Incomplete Search: NACO Normalization

- NACO normalization to be taken into consideration when searching
 - NACO normalization
 - *DCM. Z1*, Introduction, NACO Normalization
 - Authority File Comparison Rules (NACO Normalization)
 - *e.g.*,
nr2002025613: Ch'ǒngdong Kǒul munhwa chǒmgǒm
proposal: Ch'ǒngdong kǒul munhwa chǒmgǒm ; "K" versus "k"
(*e.g.*, sca nw:chongdong koul munhwa chomgom)

Incomplete Search: Conflict

- Conflict prohibited inside LC/NACO Authority File
 - *e.g.*,
n 2009069226: 110 2 Xin hua yue bao
n 2006003829: 130 0 Xin hua yue bao
(*e.g.*, sca nw:xin hua yue bao)
 - Validation functionality on Connexion Client

Incomplete Search: Conflict--cont.

- *e.g.*,
n 80050893 : 400 1 Yi, Chống-yong
n 85078005 : 100 1 Yi, Chong-yống
(*e.g.*, sca nw:yi, chong yong)
- NACO normalization
- Validation functionality on Connexion Client--useful, but not perfect

Incomplete Search: Different Hdg./Ref. Formulation

- Different heading/cross reference formulation also to be searched
 - *e.g.,*
n 88246967 : Kodaе Rōsia Munhwa Yōn'guso
(Korea)
x-ref.: Koryō Taehakkyo. #b Rōsia Munhwa
Yōn'guso
proposal: Koryō Taehakkyo. #b Rōsia Munhwa
Yōn'guso ; with the same string as x-ref. of the
pre-existing NAR
(*e.g.,* nw:rosia munhwa yonguso)
 - All heading and cross-references to be searched
 - Keyword search to be tried in some cases

Incomplete Search: Different Hdg./Ref. Formulation--cont.

- *e.g.*,
nr 00000977 : Hospital Authority (Hong
Kong, China)
proposal: Hong Kong (China). #b
Hospital Authority ; x-ref.: Hong Kong
(China). #b Yi yao guan li ju
(*e.g.*, history file: me:hong kong
hospital authority)

Incomplete Search: Different Romanization

- Different romanization also to be searched
 - *e.g.*,
nr2004010521: Kokuritsu Kokkai Toshokan (Japan). #b Kansaikan
nr2006011124: Kokuritsu Kokkai Toshokan (Japan). #b Kansai-kan
(*e.g.*, nw:kokuritsu kokkai toshokan kansai*)
 - Truncation/wild card to be tried in some cases

Incomplete Search:

Direct/Indirect Subheading

- Different handling of subordinate entities also to be searched
 - *e.g.*,
no2006010936: Ōsaka Shiritsu Daigaku.
‡b Toshi Bunka Kenkyū Sentā
no2007125537: Ōsaka Shiritsu Daigaku.
‡b Daigakuin. ‡b Toshi Bunka Kenkyū
Sentā
(*e.g.*, nw:osaka shiritsu daigaku toshi
bunka kenkyu senta)

Incomplete Search: Same Ongoing Conference

- Different addition to the same conference also to be checked
 - *e.g.*,
nr2004034260: Josei ni Taisuru Bōryoku
ni Kansuru Shinpojūmu #d (2003 ; #c
Tokyo, Japan)
nr2005022131: Josei ni Taisuru Bōryoku
ni Kansuru Shinpojūmu #d (2004 : #c
Tokyo, Japan)
(*e.g.*, sca nw:josei ni taisuru boryoku ni
kansuru shinpojumu)

Incomplete Search: Work Cat.

- Work(s) cat. cited in pre-existing authority record to be carefully examined
 - *e.g.*,
n 79150057 : Qin, Long
proposal: Qin, Long, 1939- ; with date for the same illustrator/artist
(*e.g.*, sca nw:qin, long, 1939)
 - Bibliographic record corresponding to work cat. cited to be searched in some cases to see: Chinese characters for the name; field of activities (6xx's, 050, 090)

Incomplete Search: Work Cat.-- cont.

- *e.g.*,
n 87928575 : Ch'oe, Kyu-ch'ang, #d
1954-
proposal: Ch'oe, Kyu-ch'ang ; without
date for the same poet
(*e.g.*, sca nw:choe, kyu chang)

Incomplete Search: Work Cat.-- cont.

- *e.g.*,
nr 94001088 : Yun, Yǒ-t'ak
(with 670-2: b. 1955)
proposal: Yun, Yǒ-t'ak, #d 1955- ; with
date for the same author
(*e.g.*, sca nw:yun, yo tak)

Incomplete Search: Work Cat.-- cont.

- *e.g.*,
nr 95043421 : Chisǒng ŭi Saem (Firm :
Korea)
proposal: Chisǒng ŭi Saem ; without
qualifier for the same corporate body
(*e.g.*, sca nw:chisong ui saem)

Incomplete Search: Work Cat.-- cont.

- *e.g.*,
nr 94004013 : Sun, Xiaoyun
[undifferentiated; 008/32: b]
proposal: Sun, Xiaoyun, #d 1955- ; with
date, without doing anything to
nr 94004013 or adding
"667 Formerly on undifferentiated
name record" note in the new NAR
(*e.g.*, sca nw:sun, xiaoyun, 1955)
- *DCM, Z1, 008/32, General, para. 3*

Incomplete Search: Notes

- Notes in pre-existing authority records to be carefully examined
 - *e.g.*,
 - n 82006253 : Segi, Shin'ichi, #d 1928-
(667: Cannot determine which birth year is accurate; retained 1928 based on reference sources in author's language and country; change date if other information is found to be more accurate.)
 - n 2008064564: Segi, Shin'ichi, #d 1931-
(*e.g.*, history file: sca me:segi, shinichi)

Exercise

Ex1. A treaty between China and Japan concluded on 17 April 1895 is proposed for establishment as follows:

110 1_ China. #t Treaties, etc. #g Japan, #d 1895 Apr. 17

410 1_ Japan. #t Treaties, etc. #g China, #d 1895 Apr. 17

430 _0 Maguan tiao yue #d (1895)

430 _0 Bakan jōyaku #d (1895)

430 _0 Shimonoseki jōyaku #d (1895)

May this proposed authority record be sent anew to the LC/NACO Authority File? Why? Explain a search process and discuss the result.

Exercise--cont.

Ex2. A Korean personal name is proposed for establishment as follows:

100 1_ Yi, Sajudang, #d 1739-1821

400 1_ 李 師朱堂, #d 1739-1821

400 1_ 이 사주당, #d 1739-1821

667 __ Non-Latin script references not evaluated

670 __ Chusŏk yŏngin T'aegyo sin'gi ŏnhae, 1995: #b t.p. (李 師朱堂 = 이 사주당 = Yi Sajudang)

670 __ NAVER WWW home page, June 26, 2011 #b (李 師朱堂 = 이 사주당 = Yi Sajudang; b. 1739; yosŏng hakcha; silhakka; author of T'aegyo sin'gi; d. 1821)

May this proposed authority record be sent anew to the LC/NACO Authority File? Why? Explain a search process and discuss the result.

Checklist of things to do when conducting searching

- Careful searching of both proposed heading and cross references to avoid conflicts and duplicates
- Consider NACO normalization
- Thoroughly understand rules of word division of the language of the item
- Search under different Romanization and possible different spelling of the name
- Search under different forms of headings and references
- Search in different indexes of the heading possibly entered

Checklist of things to do when conducting searching--cont.

- When establishing corporate name entered subordinately, search under the established form of the parent body
- Search under the entire hierarchy as well as the name omitting intervening body when the name is a subordinate of one or more hierarchy
- When necessary, search the title cited in the 670 field of the existing NAR to determine the relationship of the name in hand and the one in the database
- When in doubt, establish a new authority record

Checklist of things to do when conducting searching--cont.

- Use 667 field note when appropriate to differentiate the new name and the established name (*e.g.*, Not same as: ... or Cannot identify with: ...)
- Search cross reference AS WELL to avoid a see reference in conflict with an authorized heading

Selected Bibliography

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Selected Bibliography--cont.

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<http://www.loc.gov/catdir/pcc/naco/npm3rd.pdf>. See esp.: Section I, Workflow, Authority File Searching; Section I, Workflow, Normalization and Authority File Searching; Section I, Workflow, Bibliographic Searching.

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Selected Bibliography--cont.

-----, "Connexion Client Problems and Troubleshooting." OCLC. Last modified June 2, 2011.
http://www.oclc.org/us/en/connexion/support/client_known_problems.htm. See esp.:
Caution--Whether to retain or omit a comma in a browse term for the LC names and subjects authority file depends on the index you browse; Taking Actions--False error message may result from system duplicate detection when new authority records are added or master records are replaced.

Selected Bibliography--cont.

Strawn, Gary L., and Rachel Lynn Wadham, eds. *Basic Creation of Name and Title Authorities*.

Washington, D.C.: Library of Congress, 2008.

<http://www.loc.gov/catworkshop/courses/nametitleauth/pdf/Name-Title Instr Manual.pdf>. See esp. 2-13--2-17.

Other Search Strategies?

- OCLC-supplied macro:
BrowseAuthorityIndex
- Participants

Questions?

Q1: From completed pre-session survey form: May a birth date be added to pre-existing personal name authority record lacking such information?

Questions?--cont.

A1: in pre-existing name authority record lacking date of birth of represented person:

- birth date may be added under:
 - AACR2/LCRI record: field 670
 - RDA/LCPS record: fields 046-#f, 670, and/or 678
- birth date may not be added to a pre-existing hdg., unless there is a conflict to resolve
- if pre-existing NAR lacking date of birth is coded undifferentiated, separate NAR may be created, unless it would cause conflict with another pre-existing NAR
- see: *LCRI*, 22.17, Changes to Existing Headings Already Coded for AACR2, para. 2; "Frequently Asked Questions on creating Personal Name Authority Records (NARs) for NACO," #4; "PCC Post *RDA* Test Guidelines"

Questions?--cont.

- Q2. From completed pre-session survey form: name authority records prepared/processed following *RDA/LCPS* provisions
- A2. cursory mention made in connection with: same ongoing conference; treaty; and addition of dates to personal NARs. See: "*RDA Authority Records*"; "*PCC Post RDA Test Guidelines*"; *RDA Test Record Downloads--Extra Set--Authority Records*

Feedback from Participants

Polling from the participants:

- A. The session was helpful.
- B. The session was not helpful.

Please click the button in the Participant Window corresponding to your response.

Feedback from Participants-- cont.

Polling from the participants:

- A. I have already been performing as presented.
- B. There has been something new to me.
- C. Presented material has mostly been new to me.

Please click the button in the
Participant Window corresponding to
your response.

Final Remark

- We will send a link to the recording of our webinar within the next week.